

STEPHEN C. GALLIGAN
Certified Public Accountant - Certified Financial Planner
Registered Investment Advisor

3345 Lexington Ave South #201
Eagan, MN 55121
651-456-5385
651-456-5439 Fax
www.GalliganFinancial.com

SUMMARY

Seasoned Personal Financial Planner, Certified Public Accountant and Registered Investment Advisor with industry experience in Big 4 Public Accounting & Trust Banking Services. Extensive background in financial planning, investment advisory services, retirement, estate, and small business & individual tax planning.

EDUCATION

M.B.A., Finance and Accounting, Indiana University
M.S., Applied Mathematics & Physics, Indiana University (NSF Fellow)
B.S., Mathematics & Physics, Wagner College

PROFESSIONAL CERTIFICATIONS/AFFILIATIONS

Certified Public Accountant, *American Institute of Certified Public Accountants & MN Association of CPA's*
Certificate in Financial Planning (CFP), *Institute of Certified Financial Planners*
Personal Financial Specialist - *American Institute of Certified Public Accounts CPA-PFS Designation*
Registered Investment Advisor - *Charles Schwab Investments*
National Association of Personal Financial Advisors - *NAPFA Fee-Only Planners*

BUSINESS EXPERIENCE

Owner and President – Galligan Financial Advisors August, 1992 - present
Certified Public Accountant
Certified Financial Planner
Registered Investment Advisor

Formed Galligan Financial Advisors as a comprehensive personal financial planning service organization that offers fee-only financial planning services for individuals and small business'. Registered with the State of Minnesota and SEC as a Registered Investment Advisor. Advised clients, as a practicing Certified Public Accountant, on tax matters relating to personal & small business, retirement, and estate planning. Recognized by the American Institute of Certified Public Accounts as a Personal Financial Specialist.

NORWEST BANKS, Minneapolis, MN November, 1990 - July, 1992
Trust Operations Vice President / Asset Management System Project Manager

Responsible for the conversion of Norwest Institutional and Personal Trust business units to a new Asset Management System. Project management responsibilities included: data processing support; account administration and operations staff training; securities movement and control, asset pricing, trading, and fund administration work flows and procedures. Managed a professional staff of 35 and a \$7.0 million annual budget. Designed client statements for Employment Benefits and Personal Trust accounts. Developed a training program for personal trust officers related to the new management information system.

BUSINESS EXPERIENCE - continued**CARLSON COMPANIES, Minneapolis, MN**
Company Vice President /Group MIS Director

July, 1988 - November, 1990

Reported to the company Group CEO and the Corporate V.P. of MIS. Responsible for data processing, telemarketing, service bureau operations, and sales support functions. Product lines included coupon, premium/rebate and direct response fulfillment processing functions. Managed a professional staff of 50 and a \$4.5 million annual budget. Upgraded all product lines and overhauled six obsolete computer systems with a large scale Sequent System and PC/LAN systems in two years. Directly contributed to a 60% increase in sales over a two and a half year period.

CONTROL DATA CORPORATION, Minnesota, MN
General Manager / Executive Consultant to the Chief Financial Officer

July, 1986 - June, 1988

Reported directly to the Corporate Executive Vice President and Chief Financial Officer. Directed implementation planning, software and hardware acquisition, organizational planning, and accounting activities relating to the decentralization of the M.I.S. and Controller functions. Installed mainframe and PC/LAN based accounting systems for general ledger, consolidations, fixed assets, human resources and accounts payable on a worldwide basis. Revamped, reorganized and resystemized the company's accounting function over a two year period.

CENEX, Minneapolis, MN
MIS Development Manager

January, 1983 - July, 1986

Reported to the Corporate V.P. of MIS. Responsible for large-scale applications software planning, development and conversion activities associated with the IBM 3083 MVS-XA computer system. Managed a staff of 25 professionals and an annual development budget of \$1.2 million. Purchased and implemented package and data management software. Implemented new accounting, distribution, sales forecasting, and order processing systems over a three and a half year period.

PRICE WATERHOUSE, Detroit, Michigan
Senior Manager -Certified Public Accountant
Manager Consultant
Senior Consultant

1982-1983

1979-1982

1977-1979

Responsible for Information Systems and Manufacturing consulting activities for the Management Services Division. Helped the Detroit based practice grow from 8 to 40 consultants over a 5 year period via a series of successful manufacturing system implementation projects. Participated in numerous audits and tax engagements over a five year period.

Successful projects resulted in professional publications:

- I. "Integrating Tool Control into a Standard Manufacturing System", American Production and Inventory Control Journal.
- II. "Shop Floor Control in a Continuous Flow Environment", American Production and Inventory Control Journal.
- III. "An Automated Information for School Food Services", School Business Affairs"

UNYSIS (Burroughs) CORPORATION, Detroit, Michigan
Systems Analyst / Project Manager

1973-1977

Functioned as a Programmer/Analyst and Systems Analyst for manufacturing and accounting systems. Responsible for material requirements planning, inventory control, purchasing, labor distribution, standard costing, physical inventory and manufacturing work order systems development and support.